

## Duality transformation for $O(2)$ model in $d=2$ .

Since the vortices are non-local objects in terms of the order parameter field, we will employ a duality transformation under which vortices appear as point-like particles.

The main trick to derive duality is to approximate the cosine in the original  $H$  as :

$$\begin{aligned} e^{K \cos(\nabla\theta)} &\simeq e^K \sum_n e^{\frac{-K}{2} (\nabla\theta - 2\pi n)^2} \\ &= e^K \sum_n \int d\ell e^{\frac{-\ell^2}{2K} + i\ell(\nabla\theta - 2\pi n)} \\ &= e^K \sum_n e^{\frac{-n^2}{2K} + i n \nabla\theta} \end{aligned}$$

where in the last step we have used the identity

$$\sum_n e^{i2\pi n x} = \sum_{n=-\infty}^{+\infty} \delta(x-n)$$

The main point of this approximation is that one maintains the periodic nature of cosine, i.e.,

$$e^{K \cos(\nabla \theta)} = e^{K \cos(\nabla \theta + 2\pi)}$$
, without  
 having to directly work with cosine, and instead  
 working with sum of Gaussians, which is technically  
 simpler. Using this approximation, the partition  
 function becomes,

$$\begin{aligned}
 Z &= \text{Tr}_\theta \prod_{\langle ij \rangle} e^{K \cos(\theta_i - \theta_j)} \\
 &= \text{Tr}_\theta \prod_{\langle ij \rangle} \sum_{n_{ij}} e^{\frac{-n_{ij}^2}{2K} + i n_{ij} (\theta_i - \theta_j)} \quad (n_{ij} \in \mathbb{Z})
 \end{aligned}$$

(we have dropped an overall constant)

Let's write  $n_{ij} = n_\mu(\vec{r})$  where  $\mu = \hat{x}/\hat{y}$   
 denotes the direction of the link  $\langle ij \rangle$  and  $\vec{r}$   
 denotes the vertex from which it emanates.

$$Z = \text{Tr}_\theta \prod_{\mu, \vec{r}} \sum_{n_\mu(\vec{r})} e^{\frac{-n_\mu^2(\vec{r})}{2K} + i n_\mu(\vec{r}) \nabla_\mu \theta(\vec{r})}$$

Integrating by parts, one may now integrate out  
 $\theta$ , to obtain the constraint  $\nabla_\mu n_\mu(\vec{r}) = 0$ ,  
 which may be solved as  $n_\mu(\vec{r}) = \epsilon_{\mu\nu} \partial_\nu a(\vec{r})$ .  
 where  $a \in \mathbb{Z}$ .

$$\Rightarrow Z = \text{Tr}_{a \in \mathbb{Z}} e^{-\sum_{r, n} \frac{(\vec{\nabla}_r a(r))^2}{2K}}$$

Due to the integer constraint, this model is a bit difficult to work with. One may relax

this constraint is to use the Poisson resummation formula:

$$\sum_{n=-\infty}^{+\infty} f(n) = \sum_{m=-\infty}^{+\infty} \int_{-\infty}^{\infty} d\phi f(\phi) e^{2\pi i m \phi}$$

Using this,

$$Z = \int D a \sum_{\substack{p(r) \\ = -\infty}}^{+\infty} e^{-\sum_{r, n} \frac{(\vec{\nabla}_r a)^2}{2K} + \sum_n 2\pi i p(r) a(r)}$$

As we now show that  $m(r)$  actually corresponds to the density of vortices at  $\vec{r}$ , which act as the source for the spin-waves, which behave like a gauge-field. To see this,

first notice that the vortex number is given

$$\text{by } n = \oint \frac{\vec{\nabla} \theta \cdot d\ell}{2\pi} = \int \frac{\vec{\nabla} \times \vec{\nabla} \theta}{2\pi} d^2 x$$

where we have used the Stokes theorem. Note that  $\vec{\nabla} \times \vec{\nabla} \theta$  is not zero since  $\theta(x)$  is not

A smooth  $f^n$  near the core of the vortex.

$$\Rightarrow \text{vortex density } j_V(r) = \frac{\vec{\nabla} \times \vec{\nabla} \theta}{2\pi} \quad \text{Ker}(\nabla_\mu \theta(r))$$

Let's write the original  $Z = \text{Tr}_\theta \prod_{r,\mu} e$

$$= \text{Tr}_\theta \prod_{r,\mu} e^{-\frac{k}{2} (\nabla_\mu \theta(r))^2} \quad \text{where } \theta \text{ is now allowed}$$

to be singular to accommodate vortices. This is equivalent to imposing periodicity on  $\theta$ . Let's

write  $\theta = \theta_{\text{smooth}} + \theta_{\text{vortex}}$  where

$$\vec{\nabla} \times \vec{\nabla} \theta_{\text{smooth}} = 0.$$

$$\Rightarrow Z = \text{Tr}_\theta \int Dn e^{-\sum_r \frac{n_\mu(r)}{2k} + \sum_{r,\mu} i n_\mu [\nabla_\mu \theta_{\text{smooth}} + \nabla_\mu \theta_{\text{vortex}}]}$$

Integrating out  $\theta_{\text{smooth}} \Rightarrow \nabla_\mu n_\mu = 0$  which

we again solve by  $n_\mu = \epsilon_{\mu\nu} \partial_\nu a$ . Note that

here  $n$  and  $a$  are real-valued variables

and not integers.

$$\begin{aligned} \Rightarrow Z &= \int D a \int D \theta_{\text{vortex}} e^{-\sum_r \frac{(\vec{\nabla} a)^2}{2k} + 2\pi i \sum_r a_\mu \frac{(\nabla \times \nabla \theta_{\text{vortex}})_\mu}{2\pi}} \\ &= \int D a \int D j_V e^{-\sum_r \frac{(\vec{\nabla} a)^2}{2k} + 2\pi i \sum_r a(r) j_V(r)} \end{aligned}$$

Therefore, we identify  $p(r)$  in

$$Z = \int Da \sum_{\substack{p(r) \\ = -\infty}}^{+\infty} e^{-\sum_{r,r'} \frac{(\vec{\nabla}_r a)^2}{2\kappa} + \sum_r 2\pi i p(r) a(r)}$$

with  $p_{\text{vortex}}$ .

Now one may integrate out the 'gauge field'  $a(r)$  to obtain a theory only involving the charges (= vortices).

$$Z = \sum_{p(r)} e^{-2\pi^2 \kappa \sum_{r,r'} p(r) G(r-r') p(r')}$$

where  $G(r)$  satisfies  $\nabla^2 G(r) = \delta_{r,0}$

$$\Rightarrow G(r) = -\frac{1}{2\pi} \log\left(\frac{r}{a}\right) - \frac{1}{4} \quad \text{where } a \text{ is}$$

a short-distance cut-off. Therefore, vortices

interact with each other via a logarithmic potential, as anticipated from our earlier discussion.

Further, since  $G(0) \rightarrow +\infty$ , Only configurations with total zero vorticity contribute, i.e.

$$\sum_r p(r) = 0. \Rightarrow Z =$$

$$\sum_{p(r)} \exp \left[ \pi K \sum_{r,r'}' p(r) \log \left( \frac{|r-r'|}{a} \right) p(r') + \log(y) \sum_r p^2(r) \right]$$

$$\text{where } \log y = -\frac{1}{4} \pi^2 K$$

where the prime in the sum ( $\sum'$ ) denotes that one is restricting to configs with zero net vorticity and constant  $\log(y)$  in the second term may be interpreted as a 'chemical potential' for the vortices. Suppose one restricts to vortices with vorticity  $\pm 1$ . Then the partition  $f^n$  may be rewritten as:

$$Z = \sum_{p(r)} e^{\pi K \sum_{r,r'}' p(r) \log |r-r'| p(r')} y^{N_+ + N_-}$$

where the sum  $\sum_{p(r)}$  is over distinct locations of the vortices,  $N_+ = \#$  of  $+1$  vortices,  $N_- = \#$  of  $-1$  vortices.

At the lowest order in  $y$ , the partition  $f^n$  receives contribution from 'just a pair of

vortices, one with vorticity +1 and the other -1.

$Z$  (2 vortex contribution)

$$= \frac{y^2}{a^4} \int d^2 r_1 d^2 r_2 e^{-2\pi K \log \left| \frac{r_1 - r_2}{a} \right|}$$

where  $r_1$  and  $r_2$  are locations of the two vortices. The above expression is sufficient to obtain RG eqn. for  $y$  at the leading order. Under scaling  $a \rightarrow ba$   $Z$  changes

or

$$Z \rightarrow \frac{y^2}{b^4} b^{2\pi K}. \quad \text{Therefore, we}$$

need to send  $y^2 \rightarrow b^{4-2\pi K} y^2$  to keep

$Z$  invariant.  $\Rightarrow$

$$y(b) = b^{2-\pi K} y(b=1)$$

$\Rightarrow$

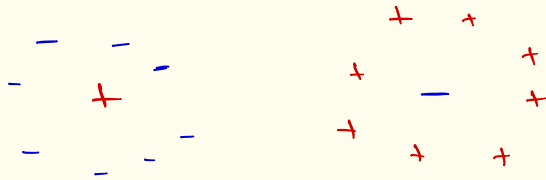
$$\boxed{\frac{dy}{d\ell} = (2-\pi K)y}$$

( $b = e^{d\ell}$ )

Therefore, when  $K > \frac{2}{\pi}$ , vortices are irrelevant and we expect that one may rely on the result obtained earlier that didn't take into account compactness of  $\varphi$  ( $\varphi \equiv \varphi + 2\pi$ ).

$$\langle \vec{S}(\vec{r}) \cdot \vec{S}(\vec{0}) \rangle = \left( \frac{a}{r} \right)^{\frac{1}{2\pi K}}$$

On the other hand, when  $K < \frac{2}{\pi}$ , vortices are relevant. To figure out the full RG flow and phase diagram, we need an RG equation for  $K$ . We will first derive it heuristically, and then return to a more detailed argument later. Since  $K$  controls the strength of interaction between two vortices, it is the dielectric constant of this medium where vortices are charges. If two vortices are separated by some distance  $r \gg a$ , then the force between them will be renormalized due to screening by other charges in between.



Under RG one integrates out short-distance modes. Therefore, RG process will decrease the screening as it will reduce the amount of intervening charges. Less screening  $\Rightarrow$  smaller dielectric constant  $\Rightarrow$  larger  $K$ . Further, this effect should be an even  $f^n$  of vortex fugacity ' $y$ ' since  $\pm$  vortices appear in pairs. Therefore, at the leading order

$$\frac{dK}{dl} = -A y^2 \quad \text{where } A \text{ is some positive constant.}$$

It is customary to define  $\kappa = 2 - \pi K$ , so that the two coupled RG equations

are:

$$\boxed{\begin{aligned} \frac{dy}{dl} &= \kappa y \\ \frac{d\kappa}{dl} &= A y^2 \end{aligned}}$$

$$(A = \pi A' > 0)$$

# Solution of RG Eqns and Physical consequences:

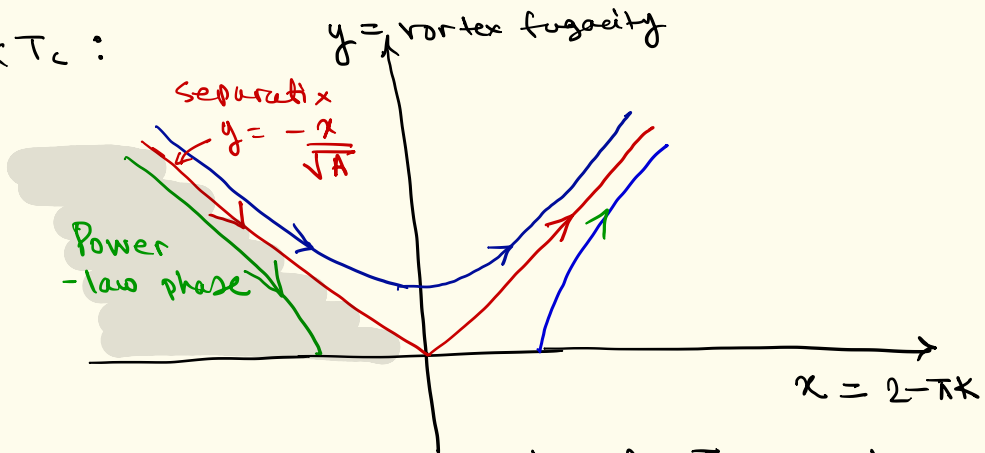
First, notice that  $\frac{d(x^2 - Ay^2)}{dl} = 0$ .

Therefore RG trajectories are hyperbolas in the  $(x, y)$  plane. Further, the lines  $x = \pm \sqrt{A} y$  pass through the origin. The significance of

$y = -\frac{x}{\sqrt{A}}$  is that it is a separatrix

which separates the behavior for  $T > T_c$  from

$T < T_c$ :



The shaded region above is the low- $T$  power-law phase because the vortex fugacity flows to zero in that region. Therefore to determine the

$K_c$  where the system undergoes the transition from the power-law correlations to exponentially

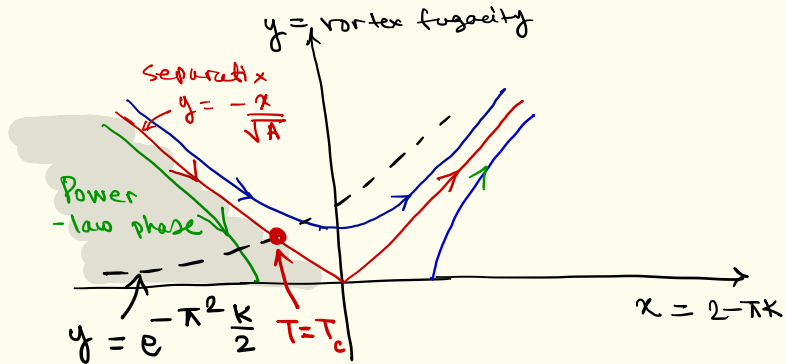
decaying ones, one needs to solve,

$$-x = \sqrt{A} y$$

Since  $y \sim e^{\frac{-\pi^2 K}{2}}$  and  $-x = \pi K - 2$ ,

$$K_c \text{ satisfies } K_c = \frac{2 + \sqrt{A} e^{\frac{-\pi^2 K_c}{2}}}{\pi}.$$

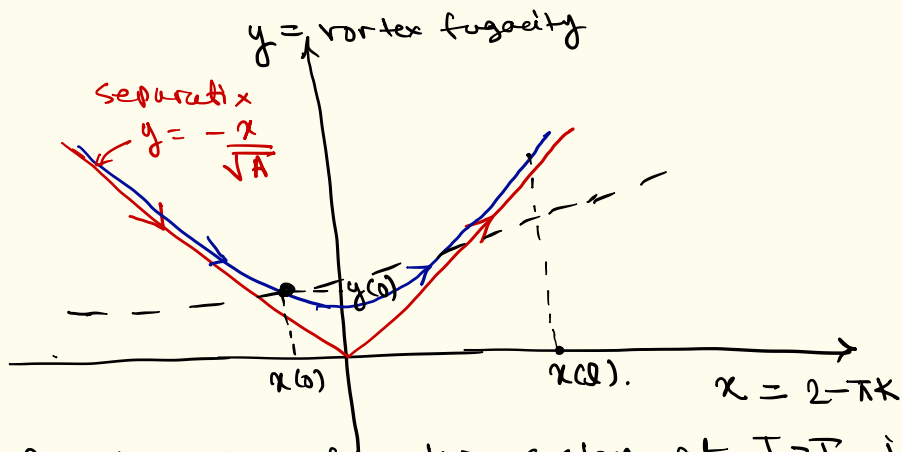
Therefore, we find a small correction to our earlier estimate  $K_c = \frac{2}{\pi}$ . Pictorially:



Correlation length close to  $T_c$ :

For  $T < T_c$ , the correlation length is infinity since one is in the power-law phase. As one approaches from  $T > T_c$ , the correlation length diverges as  $T \rightarrow T_c$ . This length scale may be thought of as the Debye screening length since for  $T > T_c$ , vortices

can move around freely and one is in the plasma phase. Due to screening, they do not interact via logarithmic potential in this phase and the effective interaction is short-ranged which defines the Debye screening length, which diverges as  $T \rightarrow T_c$ , signalling the breakdown of screening. To find this divergence, consider an RG trajectory just above  $T_c$ :



The RG trajectory for this system at  $T > T_c$  is

$$x^2(0) - A y^2(0) = x^2(0) - A y^2(0). \quad \text{Since one}$$

is close to  $T_c$ , we write  $x(0) = -\sqrt{A} y(0)[1+t]$

$$\text{where } t = \frac{T - T_c}{T_c} \ll 1.$$

When  $d=0$ , we assume that the correlation length  $\xi = \xi_0 = 0(1)$ .  $\Rightarrow \xi(d) = \xi_0 e^d$ .

Integrating  $\frac{dx}{dx} = Ay^2 \Rightarrow$

$$d = \int_0^d dx' = \int_{x(0)}^{x(d)} \frac{dx}{Ay^2} = \int_{x(0)}^{x(d)} \frac{dx}{x^2 - [x(0)^2 - Ay(0)^2]}$$

$$\cong \int_{x(0)}^{x(d)} \frac{dx}{x^2 + 2Ay(0)^2 t} \quad . \quad \text{The dominant}$$

contribution comes when  $x^2 \in [0, 2Ay(0)^2 t]$ .

$$\Rightarrow d \sim \frac{1}{y(0) \sqrt{t}} \Rightarrow \xi(d) \sim \xi_0 e^{c/y(0)\sqrt{t}}$$

where  $c$  is some constant. Therefore, the correlation length diverges faster than any power law of  $t$ .

**Specific heat :**

The singular part of the free energy scales as  $b^{-d} \sim e^{-2d} \sim \xi^{-2} \sim e^{-1/\sqrt{t}}$ . Therefore, instead of a power-law singularity, one obtains an essential singularity i.e. all derivatives

of free energy are continuous across  $T_c$ .  
Therefore, this could be called an 'infinite order' phase transition.

Stiffness and its jump across  $T_c$  :